

**FEC
FORM 3X****REPORT OF RECEIPTS
AND DISBURSEMENTS**
For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT ▼ Example: If typing, type over the lines.

12FE4M5

Securities Industry and Financial Markets Association Political Action Committee

ADDRESS (number and street) ▼

1101 New York Avenue, NW

8th Floor

☐ Check if different than previously reported. (ACC)

Washington

DC

20005

2. FEC IDENTIFICATION NUMBER ▼

CITY ▲

STATE ▲

ZIP CODE ▲

C C00431312

3. IS THIS
REPORT☒NEW
(N)

OR

☐AMENDED
(A)

4. TYPE OF REPORT

(Choose One)

(a) Quarterly Reports:

☐ April 15
Quarterly Report (Q1)☐ July 15
Quarterly Report (Q2)☐ October 15
Quarterly Report (Q3)☐ January 31
Year-End Report (YE)☐ July 31 Mid-Year
Report (Non-election
Year Only) (MY)☐ Termination Report
(TER)(b) Monthly
Report
Due On:☐ Feb 20 (M2)☐ May 20 (M5)☐ Aug 20 (M8)☐ Nov 20 (M11)
(Non-Election
Year Only)☐ Mar 20 (M3)☐ Jun 20 (M6)☐ Sep 20 (M9)☐ Dec 20 (M12)
(Non-Election
Year Only)☐ Apr 20 (M4)☐ Jul 20 (M7)☐ Oct 20 (M10)☒ Jan 31 (YE)

(c) 12-Day

PRE-Election

Report for the:

☐ Primary (12P)☐ Convention (12C)☐ General (12G)☐ Special (12S)☐ Runoff (12R)

Election on

M M M /

D D D /

Y Y Y Y Y Y Y

in the
State of

(d) 30-Day

POST-Election

Report for the:

☐ General (30G)☐ Runoff (30R)☐ Special (30S)

Election on

M M M /

D D D /

Y Y Y Y Y Y Y

in the
State of

5. Covering Period

M M M /

D D D /

Y Y Y Y Y Y Y

through

M M M /

D D D /

Y Y Y Y Y Y Y

12

01

2015

12

31

2015

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Mr. Kenneth E. Bentsen Jr.

Signature of Treasurer

Mr. Kenneth E. Bentsen Jr.

[Electronically Filed]

Date

M M M /

D D D /

Y Y Y Y Y Y Y

01

29

2016

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office
Use
Only**FEC FORM 3X**
Rev. 12/2004

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

Securities Industry and Financial Markets Association Political Action Committee

Report Covering the Period: From: M M / D D / Y Y Y Y Y Y
12 / 01 / 2015 To: M M / D D / Y Y Y Y Y Y
12 / 31 / 2015

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, Y Y Y Y Y Y 2015		147691.99
(b) Cash on Hand at Beginning of Reporting Period.....	80784.29	
(c) Total Receipts (from Line 19)	31155.17	382563.14
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	111939.46	530255.13
7. Total Disbursements (from Line 31)	16805.29	435120.96
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	95134.17	95134.17
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	

☒ This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE

of Receipts

FEC Form 3X (Rev. 06/2004)

Page 3

Write or Type Committee Name

Securities Industry and Financial Markets Association Political Action Committee

Report Covering the Period:

From:

 M M / D D / Y Y Y Y Y
 12 / 01 / 2015

To:

 M M / D D / Y Y Y Y Y
 12 / 31 / 2015
I. Receipts
COLUMN A
Total This Period
COLUMN B
Calendar Year-to-Date

11. Contributions (other than loans) From:

(a) Individuals/Persons Other

Than Political Committees

(i) Itemized (use Schedule A).....

13903.48

275098.55

(ii) Unitemized

0.00

18975.76

(iii) TOTAL (add

Lines 11(a)(i) and (ii)..... ▶

13903.48

294074.31

(b) Political Party Committees

0.00

0.00

(c) Other Political Committees

(such as PACs).....

17000.00

79000.00

(d) Total Contributions (add Lines

11(a)(iii), (b), and (c)) (Carry

Totals to Line 33, page 5)

30903.48

373074.31

12. Transfers From Affiliated/Other

Party Committees.....

0.00

0.00

13. All Loans Received

0.00

0.00

14. Loan Repayments Received.....

0.00

0.00

15. Offsets To Operating Expenditures

(Refunds, Rebates, etc.)

(Carry Totals to Line 37, page 5).....

251.69

4113.83

16. Refunds of Contributions Made

to Federal Candidates and Other

Political Committees.....

0.00

5375.00

17. Other Federal Receipts

(Dividends, Interest, etc.).....

0.00

0.00

18. Transfers from Non-Federal and Levin Funds

(a) Non-Federal Account

(from Schedule H3)

0.00

0.00

(b) Levin Funds (from Schedule H5)

0.00

0.00

(c) Total Transfers (add 18(a) and 18(b))..

0.00

0.00

19. Total Receipts (add Lines 11(d),
12, 13, 14, 15, 16, 17, and 18(c))..... ▶

31155.17

382563.14

20. Total Federal Receipts

(subtract Line 18(c) from Line 19)

31155.17

382563.14

DETAILED SUMMARY PAGE of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures	305.29	4370.96
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))	305.29	4370.96
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	16500.00	430750.00
24. Independent Expenditures (use Schedule E)	0.00	0.00
25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees	0.00	0.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	0.00	0.00
29. Other Disbursements	0.00	0.00
30. Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share	0.00	0.00
(ii) "Levin" Share.....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00
(c) Total Federal Election Activity (add .. Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	16805.29	435120.96
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	16805.29	435120.96

DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3)	30903.48	373074.31
34. Total Contribution Refunds (from Line 28(d))	0.00	0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)	30903.48	373074.31
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) ►	305.29	4370.96
37. Offsets to Operating Expenditures (from Line 15, page 3).....	251.69	4113.83
38. Net Operating Expenditures (subtract Line 37 from Line 36) ►	53.60	257.13

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTSUse separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

PAGE 6 OF 32

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
<input type="checkbox"/> 17			

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Securities Industry and Financial Markets Association Political Action Committee

Full Name (Last, First, Middle Initial)

A. Kenneth E. Bentsen Jr.Mailing Address 1101 New York Ave NW
Ste 800

City	State	Zip Code
Washington	DC	20005-4279

FEC ID number of contributing
federal political committee.

C

Name of Employer

Securities Industry and Financial Mark

Occupation

President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

5000.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	09	/	2015

Transaction ID : E01ACC9E14B04C43A867

Amount of Each Receipt this Period

192.35

Full Name (Last, First, Middle Initial)

B. Kenneth E. Bentsen Jr.Mailing Address 1101 New York Ave NW
Ste 800

City	State	Zip Code
Washington	DC	20005-4279

FEC ID number of contributing
federal political committee.

C

Name of Employer

Securities Industry and Financial Mark

Occupation

President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

5000.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	23	/	2015

Transaction ID : 2016010814754-1000

Amount of Each Receipt this Period

191.25

Full Name (Last, First, Middle Initial)

C. Lisa BleierMailing Address 1101 New York Ave NW
Ste 800

City	State	Zip Code
Washington	DC	20005-4279

FEC ID number of contributing
federal political committee.

C

Name of Employer

Securities Industry and Financial Mark

Occupation

Managing Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

499.98

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	09	/	2015

Transaction ID : 970BA54476A4477FBA4F

Amount of Each Receipt this Period

19.23

SUBTOTAL of Receipts This Page (optional)..... ►

402.83

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTSUse separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

PAGE 7 OF 32

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
<input type="checkbox"/> 17			

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NAME OF COMMITTEE (In Full)

Securities Industry and Financial Markets Association Political Action Committee

Full Name (Last, First, Middle Initial)

A. Lisa BleierMailing Address 1101 New York Ave NW
Ste 800

City Washington State DC Zip Code 20005-4279

FEC ID number of contributing
federal political committee.

C

Name of Employer
Securities Industry and Financial MarkOccupation
Managing Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

499.98

Date of Receipt

M M M	D D D	Y Y Y Y Y Y
12	23	2015

Transaction ID : 2016010814754-1001

Amount of Each Receipt this Period

19.23

Full Name (Last, First, Middle Initial)

B. Ananias BlockerMailing Address 1101 New York Ave NW
Ste 800

City Washington State DC Zip Code 20005-4279

FEC ID number of contributing
federal political committee.

C

Name of Employer
Securities Industry and Financial MarkOccupation
Executive Vice President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

4800.00

Date of Receipt

M M M	D D D	Y Y Y Y Y Y
12	09	2015

Transaction ID : F3B70CA52C08417BB650

Amount of Each Receipt this Period

300.00

Full Name (Last, First, Middle Initial)

C. Ananias BlockerMailing Address 1101 New York Ave NW
Ste 800

City Washington State DC Zip Code 20005-4279

FEC ID number of contributing
federal political committee.

C

Name of Employer
Securities Industry and Financial MarkOccupation
Executive Vice President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

4800.00

Date of Receipt

M M M	D D D	Y Y Y Y Y Y
12	23	2015

Transaction ID : 2016010814754-1002

Amount of Each Receipt this Period

300.00

SUBTOTAL of Receipts This Page (optional)..... ▶

619.23

TOTAL This Period (last page this line number only)..... ▶

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 8 OF 32
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Securities Industry and Financial Markets Association Political Action Committee

Full Name (Last, First, Middle Initial)

A. Kyle Brandon

Mailing Address 1101 New York Ave NW
Ste 800

City State Zip Code
Washington DC 20005-4279

FEC ID number of contributing
federal political committee.

C

Name of Employer
Securities Industry and Financial Mark

Occupation
Managing Director, DOR

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2499.90

Date of Receipt

M M / D D / Y Y Y Y Y Y
12 / 09 / 2015

Transaction ID : F4CD84C8CD6B465DA8F2

Amount of Each Receipt this Period

96.15

Full Name (Last, First, Middle Initial)

B. Kyle Brandon

Mailing Address 1101 New York Ave NW
Ste 800

City State Zip Code
Washington DC 20005-4279

FEC ID number of contributing
federal political committee.

C

Name of Employer
Securities Industry and Financial Mark

Occupation
Managing Director, DOR

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2499.90

Date of Receipt

M M / D D / Y Y Y Y Y Y
12 / 23 / 2015

Transaction ID : 2016010814754-1003

Amount of Each Receipt this Period

96.15

Full Name (Last, First, Middle Initial)

C. Kim Chamberlain

Mailing Address 120 Broadway
FI 35

City State Zip Code
New York NY 10271-3599

FEC ID number of contributing
federal political committee.

C

Name of Employer
Securities Industry and Financial Mark

Occupation
Managing Director & Counsel, State Gov

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
12 / 09 / 2015

Transaction ID : C54E5BAB721F465AA37B

Amount of Each Receipt this Period

38.50

SUBTOTAL of Receipts This Page (optional)..... ►

230.80

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTSUse separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

PAGE 9 OF 32

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
<input type="checkbox"/> 17			

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NAME OF COMMITTEE (In Full)

Securities Industry and Financial Markets Association Political Action Committee

Full Name (Last, First, Middle Initial)

A. Kim ChamberlainMailing Address 120 Broadway
FI 35City State Zip Code
New York NY 10271-3599FEC ID number of contributing
federal political committee.

C

Name of Employer

Securities Industry and Financial Mark

Occupation

Managing Director & Counsel, State Gov

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12		23		2015

Transaction ID : 2016010814754-1004

Amount of Each Receipt this Period

37.50

Full Name (Last, First, Middle Initial)

B. Salvatore ChiarelliMailing Address 120 Broadway
FI 35City State Zip Code
New York NY 10271-3599FEC ID number of contributing
federal political committee.

C

Name of Employer

Securities Industry and Financial Mark

Occupation

Managing Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12		09		2015

Transaction ID : B8F3F501096F4444A37F

Amount of Each Receipt this Period

9.65

Full Name (Last, First, Middle Initial)

C. Salvatore ChiarelliMailing Address 120 Broadway
FI 35City State Zip Code
New York NY 10271-3599FEC ID number of contributing
federal political committee.

C

Name of Employer

Securities Industry and Financial Mark

Occupation

Managing Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12		23		2015

Transaction ID : 2016010814754-1005

Amount of Each Receipt this Period

8.75

SUBTOTAL of Receipts This Page (optional)..... ►

55.90

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTSUse separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

PAGE 10 OF 32

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

Securities Industry and Financial Markets Association Political Action Committee

Full Name (Last, First, Middle Initial)

A. Cheryl CrispenMailing Address 1101 New York Ave NW
Ste 800

City Washington State DC Zip Code 20005-4279

FEC ID number of contributing
federal political committee.

C

Name of Employer

Securities Industry and Financial Mark

Occupation

Executive Vice President, Communicatio

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2499.90

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
12			09			2015					

Transaction ID : 1FB928537A6541FA80E1

Amount of Each Receipt this Period

96.15

Full Name (Last, First, Middle Initial)

B. Cheryl CrispenMailing Address 1101 New York Ave NW
Ste 800

City Washington State DC Zip Code 20005-4279

FEC ID number of contributing
federal political committee.

C

Name of Employer

Securities Industry and Financial Mark

Occupation

Executive Vice President, Communicatio

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2499.90

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
12			23			2015					

Transaction ID : 2016010814754-1006

Amount of Each Receipt this Period

96.15

Full Name (Last, First, Middle Initial)

C. Bradley Gaskins EdgellMailing Address 1101 New York Ave NW
Ste 800

City Washington State DC Zip Code 20005-4279

FEC ID number of contributing
federal political committee.

C

Name of Employer

Securities Industry and Financial Mark

Occupation

Managing Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
12			09			2015					

Transaction ID : D9D8BFFBF29C4CF0A2AD

Amount of Each Receipt this Period

38.50

SUBTOTAL of Receipts This Page (optional)..... ►

230.80

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTSUse separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

PAGE 11 OF 32

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
<input type="checkbox"/> 17			

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NAME OF COMMITTEE (In Full)

Securities Industry and Financial Markets Association Political Action Committee

Full Name (Last, First, Middle Initial)

A. Bradley Gaskins EdgellMailing Address 1101 New York Ave NW
Ste 800

City	State	Zip Code
Washington	DC	20005-4279

FEC ID number of contributing
federal political committee.

C

Name of Employer

Securities Industry and Financial Mark

Occupation

Managing Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12		23		2015

Transaction ID : 2016010814754-1007

Amount of Each Receipt this Period

37.50

Full Name (Last, First, Middle Initial)

B. Tracy EichlerMailing Address 120 Broadway
FI 35

City	State	Zip Code
New York	NY	10271-3599

FEC ID number of contributing
federal political committee.

C

Name of Employer

Securities Industry and Financial Mark

Occupation

Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

499.98

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12		09		2015

Transaction ID : EE3DB483BAE849A789CC

Amount of Each Receipt this Period

19.23

Full Name (Last, First, Middle Initial)

C. Tracy EichlerMailing Address 120 Broadway
FI 35

City	State	Zip Code
New York	NY	10271-3599

FEC ID number of contributing
federal political committee.

C

Name of Employer

Securities Industry and Financial Mark

Occupation

Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

499.98

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12		23		2015

Transaction ID : 2016010814754-1008

Amount of Each Receipt this Period

19.23

SUBTOTAL of Receipts This Page (optional)..... ►

75.96

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 12 OF 32
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Securities Industry and Financial Markets Association Political Action Committee

Full Name (Last, First, Middle Initial)

A. Vincent J. Ferrari

Mailing Address 12555 Manchester Rd

City State Zip Code
 Saint Louis MO 63131-3710

FEC ID number of contributing
federal political committee.

C

Name of Employer

Edward Jones

Occupation

Principal

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

5000.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
 12 23 2015

Transaction ID : 3AE120FB8D3D44A795D1

Amount of Each Receipt this Period

5000.00

Full Name (Last, First, Middle Initial)

B. Lisa Fues

Mailing Address 1101 New York Ave NW
 Ste 800

City State Zip Code
 Washington DC 20005-4279

FEC ID number of contributing
federal political committee.

C

Name of Employer

Securities Industry and Financial Mark

Occupation

Vice President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

499.98

Date of Receipt

M M / D D / Y Y Y Y Y Y
 12 09 2015

Transaction ID : F26A4AE8D97F412A88FD

Amount of Each Receipt this Period

19.23

Full Name (Last, First, Middle Initial)

C. Lisa Fues

Mailing Address 1101 New York Ave NW
 Ste 800

City State Zip Code
 Washington DC 20005-4279

FEC ID number of contributing
federal political committee.

C

Name of Employer

Securities Industry and Financial Mark

Occupation

Vice President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

499.98

Date of Receipt

M M / D D / Y Y Y Y Y Y
 12 23 2015

Transaction ID : 2016010814754-1009

Amount of Each Receipt this Period

19.23

SUBTOTAL of Receipts This Page (optional)..... ►

5038.46

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 13 OF 32

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Securities Industry and Financial Markets Association Political Action Committee

Full Name (Last, First, Middle Initial)

A. Marin E. Gibson

Mailing Address 120 Broadway
FI 35

City State Zip Code
New York NY 10271-3599

FEC ID number of contributing
federal political committee.

C

Name of Employer
Securities Industry and Financial Mark

Occupation
Managing Director & Counsel

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1999.92

Date of Receipt

12 / 09 / 2015

Transaction ID : AD4338577A9A41FDB78B

Amount of Each Receipt this Period

76.92

Full Name (Last, First, Middle Initial)

B. Marin E. Gibson

Mailing Address 120 Broadway
FI 35

City State Zip Code
New York NY 10271-3599

FEC ID number of contributing
federal political committee.

C

Name of Employer
Securities Industry and Financial Mark

Occupation
Managing Director & Counsel

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1999.92

Date of Receipt

12 / 23 / 2015

Transaction ID : 2016010814754-1010

Amount of Each Receipt this Period

76.92

Full Name (Last, First, Middle Initial)

c. Christopher Killian

Mailing Address 120 Broadway
FI 35

City State Zip Code
New York NY 10271-3599

FEC ID number of contributing
federal political committee.

C

Name of Employer
Securities Industry and Financial Mark

Occupation
Managing Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

423.50

Date of Receipt

12 / 09 / 2015

Transaction ID : 3139F1E242D0420CA92D

Amount of Each Receipt this Period

38.50

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

192.34

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 14 OF 32

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Securities Industry and Financial Markets Association Political Action Committee

Full Name (Last, First, Middle Initial)

A. Christopher Killian

Mailing Address 120 Broadway
FI 35

City State Zip Code
New York NY 10271-3599

FEC ID number of contributing
federal political committee.

C

Name of Employer
Securities Industry and Financial Mark

Occupation
Managing Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

423.50

Date of Receipt

12 / 23 / 2015

Transaction ID : 2016010814754-1011

Amount of Each Receipt this Period

38.50

Full Name (Last, First, Middle Initial)

B. David Krasner

Mailing Address 120 Broadway
FI 35

City State Zip Code
New York NY 10271-3599

FEC ID number of contributing
federal political committee.

C

Name of Employer
Securities Industry and Financial Mark

Occupation
Chief Financial Officer

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3149.80

Date of Receipt

12 / 09 / 2015

Transaction ID : DDBAB02F9ADC45758110

Amount of Each Receipt this Period

192.35

Full Name (Last, First, Middle Initial)

C. David Krasner

Mailing Address 120 Broadway
FI 35

City State Zip Code
New York NY 10271-3599

FEC ID number of contributing
federal political committee.

C

Name of Employer
Securities Industry and Financial Mark

Occupation
Chief Financial Officer

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3149.80

Date of Receipt

12 / 23 / 2015

Transaction ID : 2016010814754-1012

Amount of Each Receipt this Period

192.35

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

423.20

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTSUse separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

PAGE 15 OF 32

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
<input type="checkbox"/> 17			

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NAME OF COMMITTEE (In Full)

Securities Industry and Financial Markets Association Political Action Committee

Full Name (Last, First, Middle Initial)

A. Nancy Donohoe LanciaMailing Address 120 Broadway
FI 35

City	State	Zip Code
New York	NY	10271-3599

FEC ID number of contributing
federal political committee.

C

Name of Employer

Securities Industry and Financial Mark

Occupation

Managing Director, State Govt. Affairs

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	09	/	2015

Transaction ID : 7C920F7FD51A4013A38F

Amount of Each Receipt this Period

38.50

Full Name (Last, First, Middle Initial)

B. Nancy Donohoe LanciaMailing Address 120 Broadway
FI 35

City	State	Zip Code
New York	NY	10271-3599

FEC ID number of contributing
federal political committee.

C

Name of Employer

Securities Industry and Financial Mark

Occupation

Managing Director, State Govt. Affairs

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	23	/	2015

Transaction ID : 2016010814754-1013

Amount of Each Receipt this Period

37.50

Full Name (Last, First, Middle Initial)

C. Theodore LazoMailing Address 1101 New York Ave NW
Ste 800

City	State	Zip Code
Washington	DC	20005-4279

FEC ID number of contributing
federal political committee.

C

Name of Employer

Securities Industry and Financial Mark

Occupation

Managing Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	09	/	2015

Transaction ID : F3EDE2E9534E42418F36

Amount of Each Receipt this Period

38.50

SUBTOTAL of Receipts This Page (optional)..... ►

114.50

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTSUse separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 16 OF 32

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
<input type="checkbox"/> 17			

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NAME OF COMMITTEE (In Full)

Securities Industry and Financial Markets Association Political Action Committee

Full Name (Last, First, Middle Initial)

A. Theodore LazoMailing Address 1101 New York Ave NW
Ste 800

City	State	Zip Code
Washington	DC	20005-4279

FEC ID number of contributing
federal political committee.

C

Name of Employer
Securities Industry and Financial MarkOccupation
Managing Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	23	/	2015

Transaction ID : 2016010814754-1014

Amount of Each Receipt this Period

37.50

Full Name (Last, First, Middle Initial)

B. Laura A. MartinMailing Address 120 Broadway
FI 35

City	State	Zip Code
New York	NY	10271-3599

FEC ID number of contributing
federal political committee.

C

Name of Employer
Securities Industry and Financial MarkOccupation
Managing Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	09	/	2015

Transaction ID : CEB90C21677649A5A14E

Amount of Each Receipt this Period

615.00

Full Name (Last, First, Middle Initial)

C. Laura A. MartinMailing Address 120 Broadway
FI 35

City	State	Zip Code
New York	NY	10271-3599

FEC ID number of contributing
federal political committee.

C

Name of Employer
Securities Industry and Financial MarkOccupation
Managing Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	23	/	2015

Transaction ID : 2016010814754-1015

Amount of Each Receipt this Period

38.50

SUBTOTAL of Receipts This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

691.00

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTSUse separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER: PAGE 17 OF 32
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

Securities Industry and Financial Markets Association Political Action Committee

Full Name (Last, First, Middle Initial)

A. John McCarthy

Mailing Address 4009 64th St

City
BethesdaState
MDZip Code
20816-2617FEC ID number of contributing
federal political committee.

C

Name of Employer

KCG Holdings, Inc.

Occupation

General Counsel & Corporate Secretary

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	09	/	2015

Transaction ID : ADCF798612224573A429

Amount of Each Receipt this Period

250.00

Full Name (Last, First, Middle Initial)

B. Lauren MurrayMailing Address 360 Madison Ave
FI 18City
New YorkState
NYZip Code
10017-7122FEC ID number of contributing
federal political committee.

C

Name of Employer

Securities Industry and Financial Mark

Occupation

Associate; Private Client Group

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	09	/	2015

Transaction ID : E704FBC7C1F44DE8BB88

Amount of Each Receipt this Period

10.00

Full Name (Last, First, Middle Initial)

C. Lauren MurrayMailing Address 360 Madison Ave
FI 18City
New YorkState
NYZip Code
10017-7122FEC ID number of contributing
federal political committee.

C

Name of Employer

Securities Industry and Financial Mark

Occupation

Associate; Private Client Group

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	23	/	2015

Transaction ID : 2016010814754-1016

Amount of Each Receipt this Period

10.00

SUBTOTAL of Receipts This Page (optional)..... ►

270.00

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTSUse separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

PAGE 18 OF 32

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
<input type="checkbox"/> 17			

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NAME OF COMMITTEE (In Full)

Securities Industry and Financial Markets Association Political Action Committee

Full Name (Last, First, Middle Initial)

A. Steffen Parratt

Mailing Address 11 Sarah Dr

City

Princeton Junction

State

NJ

Zip Code

08550-1244

FEC ID number of contributing
federal political committee.

C

Name of Employer

KCG Holdings Inc.

Occupation

CFO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2500.00

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
12			14			2015			

Transaction ID : 1EB5057D97327AFC649

Amount of Each Receipt this Period

2500.00

Full Name (Last, First, Middle Initial)

B. Darrah RaffertyMailing Address 360 Madison Ave
FI 18

City

New York

State

NY

Zip Code

10017-7122

FEC ID number of contributing
federal political committee.

C

Name of Employer

Securities Industry and Financial Mark

Occupation

Managing Director, Human Resources

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
12			09			2015			

Transaction ID : A52B55367D2E4DA7972A

Amount of Each Receipt this Period

10.00

Full Name (Last, First, Middle Initial)

C. Darrah RaffertyMailing Address 360 Madison Ave
FI 18

City

New York

State

NY

Zip Code

10017-7122

FEC ID number of contributing
federal political committee.

C

Name of Employer

Securities Industry and Financial Mark

Occupation

Managing Director, Human Resources

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
12			23			2015			

Transaction ID : 2016010814754-1017

Amount of Each Receipt this Period

10.00

SUBTOTAL of Receipts This Page (optional)..... ►

2520.00

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTSUse separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER: PAGE 19 OF 32
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

Securities Industry and Financial Markets Association Political Action Committee

Full Name (Last, First, Middle Initial)

A. Randolph Snook

Mailing Address 360 Madison Ave

City
New YorkState
NYZip Code
10017-7111FEC ID number of contributing
federal political committee.

C

Name of Employer

Securities Industry and Financial Mark

Occupation

Executive Vice President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

5000.00

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
12			14			2015			

Transaction ID : F7893B41EC2006C9352

Amount of Each Receipt this Period

2500.00

Full Name (Last, First, Middle Initial)

B. Thomas P. TierneyMailing Address 120 Broadway
FI 35City
New YorkState
NYZip Code
10271-3599FEC ID number of contributing
federal political committee.

C

Name of Employer

Securities Industry and Financial Mark

Occupation

Managing Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

480.82

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
12			09			2015			

Transaction ID : CD4F6CDCCC3F4DDFB61F

Amount of Each Receipt this Period

19.23

Full Name (Last, First, Middle Initial)

C. Thomas P. TierneyMailing Address 120 Broadway
FI 35City
New YorkState
NYZip Code
10271-3599FEC ID number of contributing
federal political committee.

C

Name of Employer

Securities Industry and Financial Mark

Occupation

Managing Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

480.82

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
12			23			2015			

Transaction ID : 2016010814754-1018

Amount of Each Receipt this Period

19.23

SUBTOTAL of Receipts This Page (optional)..... ►

2538.46

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 20 OF 32
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Securities Industry and Financial Markets Association Political Action Committee

Full Name (Last, First, Middle Initial)

A. Gregory Tusar

Mailing Address 545 Washington Blvd

City

Jersey City

State

NJ

Zip Code

07310-1607

FEC ID number of contributing
federal political committee.

C

Name of Employer

KCG Holdings, Inc.

Occupation

Co-Head of Global Execution Services a

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
12 / 14 / 2015

Transaction ID : D934C5EABE8283B0EF1

Amount of Each Receipt this Period

500.00

Full Name (Last, First, Middle Initial)

B.

Mailing Address

City

State

Zip Code

FEC ID number of contributing
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

M M / D D / Y Y Y Y Y Y

Amount of Each Receipt this Period

Full Name (Last, First, Middle Initial)

C.

Mailing Address

City

State

Zip Code

FEC ID number of contributing
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

M M / D D / Y Y Y Y Y Y

Amount of Each Receipt this Period

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

500.00

13903.48

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTSUse separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

PAGE 21 OF 32

<input type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input checked="" type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
			<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)

Securities Industry and Financial Markets Association Political Action Committee

Full Name (Last, First, Middle Initial)

A. Bipartisan Political Action Committee/the Bank of New York Mellon Corporation Bipac/BnymcMailing Address Bny Mellon Center, Room 3225
500 Grant Street

City	State	Zip Code
Pittsburgh	PA	15258-0001

FEC ID number of contributing
federal political committee.**C** C00017558

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

5000.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	18	/	2015

Transaction ID : 8F4E31D21D774D22B4C7

Amount of Each Receipt this Period

5000.00

Full Name (Last, First, Middle Initial)

B. Jpmorgan Chase & Co. PACMailing Address 601 Pennsylvania Avenue, NW
7th Floor

City	State	Zip Code
Washington	DC	20004

FEC ID number of contributing
federal political committee.**C** C00128512

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

5000.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	21	/	2015

Transaction ID : EA0647EFC5C44380B6E8

Amount of Each Receipt this Period

2500.00

Full Name (Last, First, Middle Initial)

C. Principal Life Insurance Company Political Action CommitteeMailing Address 711 High Street
Government Relations

City	State	Zip Code
Des Moines	IA	50392-0220

FEC ID number of contributing
federal political committee.**C** C00128918

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2000.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	01	/	2015

Transaction ID : 525BFD3F86BD49B6A7BD

Amount of Each Receipt this Period

2000.00

SUBTOTAL of Receipts This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

9500.00

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTSUse separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER: PAGE 22 OF 32
(check only one)

<input type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input checked="" type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

Securities Industry and Financial Markets Association Political Action Committee

Full Name (Last, First, Middle Initial)

A. U.S. Bancorp Federal Political Action CommitteeMailing Address 950 F Street NW
Suite 750

City Washington State DC Zip Code 20004

FEC ID number of contributing
federal political committee.

C C00488882

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2500.00

Date of Receipt

M M / D D / Y Y Y Y Y
12 18 2015

Transaction ID : EE7EDD8CDA52459A8196

Amount of Each Receipt this Period

2500.00

Full Name (Last, First, Middle Initial)

B. Ubs Americas Inc. Political Action Committee (UBS PAC)Mailing Address 677 Washington Boulevard
C/O Per Dyrvik

City Stamford State CT Zip Code 06901

FEC ID number of contributing
federal political committee.

C C00012245

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

5000.00

Date of Receipt

M M / D D / Y Y Y Y Y
12 18 2015

Transaction ID : 153DD07C8F9F4BA8AD6E

Amount of Each Receipt this Period

5000.00

Full Name (Last, First, Middle Initial)

C.

Mailing Address

City State Zip Code

FEC ID number of contributing
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

M M / D D / Y Y Y Y Y

Amount of Each Receipt this Period

SUBTOTAL of Receipts This Page (optional)..... ►

7500.00

TOTAL This Period (last page this line number only)..... ►

17000.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 23 OF 32

(check only one)

☐ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☒ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Securities Industry and Financial Markets Association Political Action Committee

Full Name (Last, First, Middle Initial)

A. SIFMA

Mailing Address 1101 New York Avenue, NW
8th Floor

City State Zip Code
Washington DC 20005

FEC ID number of contributing
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

4113.83

Date of Receipt

M M / D D / Y Y Y Y Y Y
12 31 2015

Transaction ID : B84C9D2B543C476EA584

Amount of Each Receipt this Period

251.69

Reimbursement of Bank Fees

Full Name (Last, First, Middle Initial)

B.

Mailing Address

City State Zip Code

FEC ID number of contributing
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

M M / D D / Y Y Y Y Y Y

Amount of Each Receipt this Period

Full Name (Last, First, Middle Initial)

C.

Mailing Address

City State Zip Code

FEC ID number of contributing
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

M M / D D / Y Y Y Y Y Y

Amount of Each Receipt this Period

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

251.69

251.69

<input checked="" type="checkbox"/>	21b	<input type="checkbox"/>	22	<input type="checkbox"/>	23	<input type="checkbox"/>	24	<input type="checkbox"/>	25	<input type="checkbox"/>	26
<input type="checkbox"/>	27	<input type="checkbox"/>	28a	<input type="checkbox"/>	28b	<input type="checkbox"/>	28c	<input type="checkbox"/>	29	<input type="checkbox"/>	30b

Securities Industry and Financial Markets Association Political Action Committee

Fruit	Number of People
Apple	13.00
Banana	10.00
Orange	10.00
Pear	10.00

209.78

Amount of Each Disbursement this Period

28.91

Age Group	Percentage
18-24	~10%
25-34	~25%
35-44	~15%
45-54	~10%
55-64	~10%
65-74	~10%
75-84	~10%
85+	~10%

A diagram of a rectangular frame structure. It consists of 12 vertical bars and 2 horizontal bars. The vertical bars are arranged in two rows of six. The horizontal bars are at the top and bottom. The bars are connected at the corners and midpoints.

<input checked="" type="checkbox"/>	21b	<input type="checkbox"/>	22	<input type="checkbox"/>	23	<input type="checkbox"/>	24	<input type="checkbox"/>	25	<input type="checkbox"/>	26
<input type="checkbox"/>	27	<input type="checkbox"/>	28a	<input type="checkbox"/>	28b	<input type="checkbox"/>	28c	<input type="checkbox"/>	29	<input type="checkbox"/>	30b

Securities Industry and Financial Markets Association Political Action Committee

A. PNC Bank

001

Category/
Type

Disbursement For: ☐ Primary ☐ General
☐ Other (specify) ▼

53.60

B.

Category/
Type

Disbursement For: ☐ Primary ☐ General
☐ Other (specify) ▼

C.

The diagram shows three rectangular boxes representing DNA sequences. The first box contains 'M' at the top left and 'M' at the top right, with a small grey square below the first 'M'. The second box contains 'D' at the top left and 'D' at the top right, with a small grey square below the first 'D'. The third box contains 'Y' at the top left, 'Y' at the top right, and two more 'Y's in the middle, with small grey squares below each 'Y'.

Category/
Type

Disbursement For:

<input type="checkbox"/>	Primary	<input type="checkbox"/>	General
<input type="checkbox"/>	Other (specify) ▼		

Country	Percentage
United States	93.60
Germany	87.60
France	85.60
Canada	84.60
United Kingdom	83.60
Italy	82.60
Spain	81.60
Japan	80.60
South Korea	79.60
Australia	78.60

305.29

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**Use separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

PAGE 26 OF 32

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Securities Industry and Financial Markets Association Political Action Committee

Full Name (Last, First, Middle Initial)

A. Ben Cardin for Senate, Inc.

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
12		16		2015

Mailing Address PO Box 21093

City	State	Zip Code
Catonsville	MD	21228

Transaction ID : 19B79FA0AE2DCDD79BBPurpose of Disbursement
Void 11-30-2015 Contribution, 2018 Primary

011

Amount of Each Disbursement this Period

-1000.00

Candidate Name

Benjamin L. CardinCategory/
Type

Office Sought:

☐ House
☒ Senate
☐ President

Disbursement For: 2018

☒ Primary ☐ General
☐ Other (specify) ▼

State: MD

District:

Full Name (Last, First, Middle Initial)

B. Bob Goodlatte for Congress Committee

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
12		03		2015

Mailing Address PO Box 292

City	State	Zip Code
Roanoke	VA	24002

Transaction ID : 0A99F13C5ABA291C631Purpose of Disbursement
2016 Primary

011

Amount of Each Disbursement this Period

1000.00

Candidate Name

Robert William GoodlatteCategory/
Type

Office Sought:

☒ House
☐ Senate
☐ President

Disbursement For: 2016

☒ Primary ☐ General
☐ Other (specify) ▼

State: VA

District: 06

Full Name (Last, First, Middle Initial)

C. Carper for Senate

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
12		14		2015

Mailing Address PO Box 2882

City	State	Zip Code
Wilmington	DE	19805

Transaction ID : B61A65D9E88D087917DPurpose of Disbursement
Void 06-25-2015 Contribution, 2018 Primary

011

Amount of Each Disbursement this Period

-1000.00

Candidate Name

Thomas Richard CarperCategory/
Type

Office Sought:

☐ House
☒ Senate
☐ President

Disbursement For: 2018

☒ Primary ☐ General
☐ Other (specify) ▼

State: DE

District:

SUBTOTAL of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

-1000.00

--

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**Use separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

PAGE 27 OF 32

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Securities Industry and Financial Markets Association Political Action Committee

Full Name (Last, First, Middle Initial)

A. Castro for Congress

Mailing Address PO Box 544

City	State	Zip Code
San Antonio	TX	78292

Purpose of Disbursement
2016 Primary

011

Candidate Name

Joaquin CastroCategory/
TypeOffice Sought: ☒ House
☐ Senate
☐ PresidentDisbursement For: 2016
☒ Primary ☐ General
☐ Other (specify) ▼

State: TX District: 20

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	11	/	2015

Transaction ID : B2BDF46ADED07479413

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

B. Committee for Hispanic Causes/Building Our Leadership Diversity PAC (CHC BOLD PAC)

Mailing Address PO Box 75357

City	State	Zip Code
Washington	DC	20013

Purpose of Disbursement
Void 10-07-2015 Contribution, 2015 Contribution

011

Candidate Name

Committee for Hispanic Causes/Building Our Leadership Diversity PAC (CHC BOLD PAC)

Category/
TypeOffice Sought: ☐ House
☐ Senate
☐ PresidentDisbursement For: 2015
☐ Primary ☐ General
☒ Other (specify) ▼
Contribution

State: District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	02	/	2015

Transaction ID : 4BD0DB743534330B382

Amount of Each Disbursement this Period

-2500.00

Full Name (Last, First, Middle Initial)

C. Committee To Re-Elect Linda SanchezMailing Address 410 1st St SE
Suite 310

City	State	Zip Code
Washington	DC	20003

Purpose of Disbursement
2016 Primary

011

Candidate Name

Linda T. SanchezCategory/
TypeOffice Sought: ☒ House
☐ Senate
☐ PresidentDisbursement For: 2016
☒ Primary ☐ General
☐ Other (specify) ▼

State: CA District: 38

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	16	/	2015

Transaction ID : A08A1EF46929423F7B4

Amount of Each Disbursement this Period

2000.00

SUBTOTAL of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

500.00

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**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**Use separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

PAGE 28 OF 32

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Securities Industry and Financial Markets Association Political Action Committee

Full Name (Last, First, Middle Initial)

A. David Rouzer for Congress

Mailing Address PO Box 2267

City	State	Zip Code
Smithfield	NC	27577

Purpose of Disbursement
2016 Primary

011

Candidate Name

David C. RouzerCategory/
Type

Office Sought: ☒ House
☐ Senate
☐ President

State: NC District: 07

Disbursement For: 2016

☒ Primary ☐ General
☐ Other (specify) ▼

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
12		11		2015

Transaction ID : 19FCB7369DED9E75B50

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

B. David Scott for Congress

Mailing Address PO Box 960821

City	State	Zip Code
Riverdale	GA	30296

Purpose of Disbursement
2016 General

011

Candidate Name

David Albert ScottCategory/
Type

Office Sought: ☒ House
☐ Senate
☐ President

State: GA District: 13

Disbursement For: 2016

☐ Primary ☒ General
☐ Other (specify) ▼

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
12		11		2015

Transaction ID : F5E78277754FB6FA990

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

C. DSCC

Mailing Address 120 Maryland Ave NE

City	State	Zip Code
Washington	DC	20002

Purpose of Disbursement
2015 Contribution

011

Candidate Name

DSCCCategory/
Type

Office Sought: ☐ House
☐ Senate
☐ President

State: District:

Disbursement For: 2015

☐ Primary ☐ General
☒ Other (specify) ▼ Contribution

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
12		18		2015

Transaction ID : D9DD8681115D1DAF31A

Amount of Each Disbursement this Period

5000.00

SUBTOTAL of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

7000.00

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**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**Use separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

PAGE 29 OF 32

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Securities Industry and Financial Markets Association Political Action Committee

Full Name (Last, First, Middle Initial)

A. Fearless PAC

Mailing Address PO Box 37

City	State	Zip Code
Boulder	CO	80306

Purpose of Disbursement
2015 Contribution

011

Candidate Name

Fearless PAC

Office Sought:	<input type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2015

<input type="checkbox"/> Primary	<input type="checkbox"/> General
<input checked="" type="checkbox"/> Other (specify) ▼	

State: District: Contribution

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
12		11		2015

Transaction ID : 7140A57ECA1DE10F892

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

B. Filemon Vela for CongressMailing Address 10715 Gulfdale St
Ste 235

City	State	Zip Code
San Antonio	TX	78216

Purpose of Disbursement
2016 Primary

011

Candidate Name

Filemon Bartolome Vela Jr.

Office Sought:	<input checked="" type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2016

<input checked="" type="checkbox"/> Primary	<input type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼	

State: TX District: 34

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
12		16		2015

Transaction ID : 5E3FB0F169DD1CF7E39

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

C. Hal Rogers for Congress

Mailing Address PO Box 1214

City	State	Zip Code
Somerset	KY	42502

Purpose of Disbursement
2016 Primary

011

Candidate Name

Harold D. Rogers

Office Sought:	<input checked="" type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2016

<input checked="" type="checkbox"/> Primary	<input type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼	

State: KY District: 05

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
12		16		2015

Transaction ID : 65320704812051B3700

Amount of Each Disbursement this Period

1000.00

SUBTOTAL of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

3000.00

--

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTSUse separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

PAGE 30 OF 32

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Securities Industry and Financial Markets Association Political Action Committee

Full Name (Last, First, Middle Initial)

A. Hudson for Congress

Mailing Address PO Box 5053

City Concord	State NC	Zip Code 28027-1500
-----------------	-------------	------------------------

Purpose of Disbursement
2016 Primary

Candidate Name

Richard Lane Hudson Jr.

Office Sought:	<input checked="" type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President
State: NC	District: 08

Disbursement For: 2016
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
12		16		2015

Transaction ID : 55083CD106849C2F4C2

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

B. Jaime for Congress

Mailing Address PO Box 1614

City Ridgefield	State WA	Zip Code 98642-0020
--------------------	-------------	------------------------

Purpose of Disbursement
2016 Primary

Candidate Name

Jaime Lynn Herrera Beutler

Office Sought:	<input checked="" type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President
State: WA	District: 03

Disbursement For: 2016
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
12		03		2015

Transaction ID : 85F6629A68ED53444CF

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

C. McCaskill for Missouri

Mailing Address PO Box 300077

City St Louis	State MO	Zip Code 63130
------------------	-------------	-------------------

Purpose of Disbursement
2018 Primary

Candidate Name

Claire Conner McCaskill

Office Sought:	<input type="checkbox"/> House <input checked="" type="checkbox"/> Senate <input type="checkbox"/> President
State: MO	District:

Disbursement For: 2018
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
12		11		2015

Transaction ID : 2C38AFDD3E406C529FC

Amount of Each Disbursement this Period

1000.00

SUBTOTAL of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

3000.00

--

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**Use separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

PAGE 31 OF 32

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Securities Industry and Financial Markets Association Political Action Committee

Full Name (Last, First, Middle Initial)

A. Motor City PACMailing Address 611 Pennsylvania Ave SE
Ste 143

City Washington State DC Zip Code 20003

Purpose of Disbursement
2015 Contribution

Candidate Name

Motor City PACOffice Sought: ☐ House
☐ Senate
☐ PresidentDisbursement For: 2015
☐ Primary ☐ General
☒ Other (specify) ☐ Contribution

State: District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
12		11		2015

Transaction ID : F1589E11B77F6A5F3DB

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

B. Poliquin for Congress

Mailing Address PO Box 50

City Oakland State ME Zip Code 04963

Purpose of Disbursement
2016 Primary

Candidate Name

Bruce Lee PoliquinOffice Sought: ☒ House
☐ Senate
☐ PresidentDisbursement For: 2016
☒ Primary ☐ General
☐ Other (specify) ☐

State: ME District: 02

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
12		16		2015

Transaction ID : F6CFDB330ABEFD78966

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

C. Richard E Neal for Congress Committee

Mailing Address 76 Magnolia Terrace

City Springfield State MA Zip Code 01108

Purpose of Disbursement
2016 Primary

Candidate Name

Richard Edmund NealOffice Sought: ☒ House
☐ Senate
☐ PresidentDisbursement For: 2016
☒ Primary ☐ General
☐ Other (specify) ☐

State: MA District: 01

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
12		11		2015

Transaction ID : 4774463EC7A6BC08310

Amount of Each Disbursement this Period

1000.00

SUBTOTAL of Disbursements This Page (optional).....▶**TOTAL** This Period (last page this line number only).....▶

3000.00

--

	21b		22	X	23		24		25		26
	27		28a		28b		28c		29		30b

Securities Industry and Financial Markets Association Political Action Committee

Three digital displays are shown side-by-side. The first display shows '12' with two small squares above it labeled 'M'. The second display shows '22' with two small squares above it labeled 'D'. The third display shows '2015' with four small squares above it labeled 'Y'. The displays are separated by slashes.

-1000.00

1000.00

1000.00

State: CA District: 29

1000.00

16500.00